

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**

**Docket No.  
112857-245**

In Re Application Of: **Takuya Oshima et al.**

Serial No.

09/852,566 /

Filing Date

May 10, 2001

Examiner

Group Art Unit

Invention: **ELECTRONIC SETTLEMENT SYSTEM, SETTLEMENT MANAGEMENT DEVICE, STORE DEVICE, CLIENT DEVICE, DATA STORAGE DEVICE, COMPUTER PROGRAM, AND STORAGE MEDIUM**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

**Box Missing Parts**

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on

**July 9, 2001**

*Date*

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☐ Other (list):

01/14/2002 BABRAHA1 00000089 09852566

01 FC:116

400.00 OP

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TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

☒ Completion of application fees as calculated below:

☐ Utility application filing fee

☐ Design application filing fee

☐ Total number of independent claims =

☐ Total number of claims =

☐ Multiple dependent claims

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath **\$130.00**

☐ Petition and fee for filing by other than all the inventors or a person not the inventor

☐ Fee for processing an application filed with a non-English language specification

☐ Fee for processing and retention of application

Total completion of application fees **\$130.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☒ Two months ☐ Three months ☐ Four months ☐ Five months

from: **September 9, 2001**  
Date

until: **November 9, 2001**  
Date

Total time extension fees **\$400.00**

Total fees due **\$530.00**

**Response To Notice To File Missing Parts Of Application  
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Invention: **ELECTRONIC SETTLEMENT SYSTEM, SETTLEMENT MANAGEMENT DEVICE, STORE DEVICE, CLIENT DEVICE, DATA STORAGE DEVICE, COMPUTER PROGRAM, AND STORAGE MEDIUM**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of **\$530.00** is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **02-1818**  
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **02-1818**  
A duplicate copy of this sheet is enclosed.



*Signature*

William E. Vaughan (Reg. No. 69,056)  
Bell, Boyd & Lloyd LLC  
P.O. Box 1135  
Chicago, Illinois 60690

Dated: November 9, 2001

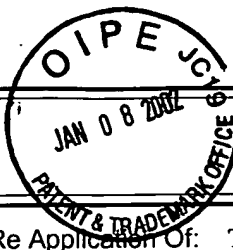
CC:

I certify that this document and fee is being deposited on November 9, 2001 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Signature of Person Mailing Correspondence*

**Robert Buccieri**

*Typed or Printed Name of Person Mailing Correspondence*



**TRANSMITTAL LETTER**  
(General - Patent Pending)

Docket No.  
112857-245

In Re Application Of: Takuya Oshima et al.

Serial No.  
09/852,566

Filing Date  
May 10, 2001

Examiner

Group Art Unit

Title: **ELECTRONIC SETTLEMENT SYSTEM, SETTLEMENT MANAGEMENT DEVICE, STORE DEVICE, CLIENT DEVICE, DATA STORAGE DEVICE, COMPUTER PROGRAM, AND STORAGE MEDIUM**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

Certified copy of two Japanese priority document Nos. 2000-142307 -and 2001-048916

in the above identified application.

- ☒ No additional fee is required.
- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **02-1818** as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

  
Signature

William E. Vaughan (Reg. No. 39,056)  
Bell, Boyd & Lloyd LLC  
P.O. Box 1135  
Chicago, Illinois 60690

Dated: November 9, 2001

CC:

I certify that this document and fee is being deposited on Nov. 9, 2001 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

  
Signature of Person Mailing Correspondence

**Robert Buccieri**

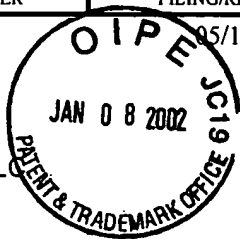
Typed or Printed Name of Person Mailing Correspondence



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/852,566	05/10/2001	Takuya Oshima	112857-245



Bell, Boyd & Lloyd LLC  
P.O. Box 1135  
Chicago, IL 60690

CONFIRMATION NO. 6260

## FORMALITIES LETTER



\*OC000000006272283\*

Date Mailed: 07/09/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/14/2002 BABRAHA1 00000088 09852566

01 FC:105

130.00 DP